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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 10/792,056 | 03/03/2004 | Michael J. Otto | 154-28553-US | 4804 |
| 23770 7590 03/18/2008 PAULA D. MORRIS THE MORRIS LAW FIRM, P.C. PO BOX 420787 HOUSTON, TX 77242-0787 | | | | |
| EXAMINER | | | | |
| MCAVOY, ELLEN M | | | | |
| ART UNIT | | PAPER NUMBER | | |
| 1797 | | | | |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/792,056

Applicant(s)

OTTO ET AL.

Examiner

Ellen M. McAvoy

Art Unit

1797

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 December 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 127-190 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 127-190 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-893)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____
- Paper No(s)/Mail Date _____

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 127-190 are still rejected under 35 U.S.C. 103(a) as being unpatentable over Clark et al (5,658,860) alone or in combination with Chesser et al (6,403,537).

Applicants' arguments filed 18 December 2007 have been fully considered but they are not persuasive. As previously set forth, Clark et al ["Clark"] disclose a well fluid emulsion having a water phase and an oil phase of a sulfurized alcohol and a naturally occurring fat, oil or derivatives thereof. Also disclosed is a method of lubricating drilling equipment used in conjunction with the drilling. Suitable naturally occurring fats and oils may be obtained from vegetable oils such as castor oil, coconut oil, corn oil, cottonseed oil, olive oil and sunflower oil. The preferred class of alcohols are glycols and polyglycols having a molecular weight in the range of about 200 to about 2000. See column 3, line 39 to column 4, line 21. Suitable fatty acids include include those having a carbon chain length of 8-30 carbon atoms. Clark teaches that derivatives of the fatty acids may be used including alkali metal derivatives. See column 5, lines 37-58. The examiner maintains the position that the drilling fluid of Clark clearly meets the limitations of most of the above rejected claims. Applicants' invention differs in some independent and dependent claims by adding one or more monomers comprising acrylamide. However, Chesser et al ["Chesser"] is added to teach that drilling fluid systems conventionally contain acrylamide monomers. Having the prior art references before the inventors at the time

Art Unit: 1797

the invention was made it would have been obvious to have added the acrylamide monomers of Chesser to the drilling fluids of Clark if the known imparted properties were so desired. It is *prima facie* obvious to combine two compositions each of which is taught by the prior art to be useful for the same purpose, here as drilling fluids, in order to form a third composition to be used for the very same purpose.... “[T]he idea of combining them flows logically from their having been individually taught in the prior art.” In re Kerkhoven, 626 F.2d 846, 850, 205 USPQ 1069, 1072 (CCPA 1980).

In response, Applicants amended the independent claims and argued that:

“...the examiner has the burden to establish that ‘providing a drilling fluid system having effective rheology and fluid loss control properties...comprising a continuous phase comprising as an integral component a dispersion comprising a quantity of fatty acid soap’...comprising ‘alkali metal selected from the group consisting of lithium, potassium, rubidium, cesium, and combinations thereof,’ is merely ‘the predictable use of prior art elements according to their established functions.’ ...The examiner has not met this burden.”

This is not deemed to be persuasive because, as set forth above, suitable fatty acids include those having a carbon chain length of 8-30 carbon atoms and Clark teaches that derivatives of the fatty acids may be used including alkali metal derivatives. Alkali metals include lithium, sodium, potassium, rubidium, cesium and francium. Thus the examiner maintains the position that the alkali metal derivatives of fatty acids of the prior art reference to Clark meet the limitations of the alkali metal fatty acid soap component of the claims.

Applicants also argue that:

“Nor has the examiner established an express teaching of ‘drilling through a subterranean formation’ using such a drilling fluid system. The examiner certainly has not established that doing so could react said fatty acid soap with one or more metal surfaces of drilling equipment in contact with said drilling fluid system thereby producing lubricated drilling equipment comprising one or more metal surface comprising a substantially continuous

lubricating film providing improved lubricity as reflected in an increase in lubricating film strength compared to a control during extreme pressure testing.”

This is not deemed to be persuasive because Clark teaches and claims a method of lubricating drilling equipment used in conjunction with the drilling, completion or workover of a subterranean well comprising the step of contacting the surface of the drilling equipment with the emulsified system to provide an interface on the equipment surface. See claim 1 of Clark. Thus the examiner maintains the position that Clark, alone or in combination with Chesser, meets the limitations of the above rejected claims.

The rejection of claims 127-154 and 171-181 under 35 U.S.C. 103(a) as being unpatentable over Fukutani et al (6,448,207) made in the previous office action is withdrawn in view of the amendments to the claims. Specifically, the amendment to the independent claims that the methods include the step of drilling through a subterranean formation differ from Fukutani which does not teach underground drilling.

THIS ACTION IS MADE FINAL. Applicants are reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

Art Unit: 1797

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ellen M. McAvoy whose telephone number is (571) 272-1451. The examiner can normally be reached on M-F (7:30-5:00) with alt. Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola can be reached on (571) 272-1444. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ellen M McAvoy/
Ellen M McAvoy
Primary Examiner
Art Unit 1797

EMcAvoy
March 12, 2008

